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 ORGANISATION INTERNATIONALE DE NORMALISATION
 ISO/IEC JTC 1/SC 2/WG 2**

Universal Multiple-Octet Coded Character Set (UCS)

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Table of Contents

1. Introduction	3
1.1. Scope of IRG Work	3
1.2. Scope of This Document	3
2. Development of CJK Unified Ideographs.....	3
2.1. Principles on Identification of CJK Unified Ideographs	3
2.1.1. Encoding of abstract characters.....	3
2.1.2. Unification procedures of CJK ideographs	4
2.1.3. Non-cognate rule	4
2.1.4. Enhancement of Annex S with new submission.....	4
2.2. Principles on Submission of Ideographs to the IRG	4
2.2.1. Basic Rules for Submission.....	4
2.2.2. Required Font to be submitted	5
2.2.3. Required Data to be submitted.....	5
2.2.4. Required Evidence to be submitted	5
2.2.5. Required Summary Form to be submitted	6
2.2.6. Quality Assurance: The 5% rule	6
2.3. Principles on Production of IRG Working Drafts	6
2.3.1. Principles on Submitted Ideographs.....	6
2.3.2. Principles on Assignment of Serial Number	6
2.3.3. Principles on Machine-Checking of IDS of Submitted Ideographs.....	6
2.3.4. Production of IRG Working Drafts	6
2.4. Principles on Reviewing IRG Working Drafts	7
2.4.1. General Principles on Reviews	7
2.4.2. Principles on Manual Checking	7
2.4.3. Submission of Possibly Unifiable Ideographs	7
2.5. Principles on Discussions at IRG Meetings	8
2.5.1. Document-based Discussion.....	8
2.5.2. Discussion Procedures.....	8
2.5.3. Recording of Discussions.....	8
2.5.4. Time and Quality Management	8
2.6. Principles on Submission of Ideographs to WG2	9
2.6.1. Stabilized M-Set Checking	9
2.6.2. Preparation for WG2 Submission.....	9
3. Procedures	9
3.1. Call for Submission	9
3.2. Consolidation and Grouping of Submitted Ideographs	9
3.3. First Checking Stage	10
3.4. First Discussion and Conclusion Stage	10

3.5. Second Checking Stage	10
3.6. Second Consolidation and Conclusion Stage	10
3.7. Final Checking Stage	10
3.8. Approval and Submission to WG2	11
4. Guidelines for Comments and Resolutions on Working Sets	11
4.1. Guidelines for M-set	11
4.2 Guidelines for D-set	12
5. IRG Website	12
6. IRG Document Registration	13
6.1. Registration Procedures	13
6.2. Contact for IRG Document Registration	13
Annex A: Sorting Algorithm of Ideographs	14
Annex B: IDS Matching	15
B.1. Guidelines on Creation of IDS	15
B.2. Requirements on IDS Matching.	15
B.3. Limitation of IDS Matching.	15
Annex C: Urgently Needed Ideographs	16
C.1. Introduction	16
C.2. Requirements	16
C.3. Dealing with Urgent Requests	16
Annex D: Up-to-Date CJK Unified Ideograph Souces and Source References	17
Annex E: List of Over-Unification and Mis-Unification Ideographs	20
E.1 Introduction	20
E.2 List of Over-unification Ideographs	20
E.3 List of Mis-unification Ideographs	20
WG2 PnP Annex I: Guideline for handling of CJK ideograph unification and/or disunification error	24
I.1 Guideline for “to be unified” errors	24
I.2 Guideline for “to be disunified” errors	24
I.3 Discouragement of new disunification request	24
WG2 PnP Annex J: Guideline for correction of CJK ideograph mapping table errors	25
References	26
Glossary:[to be updated later]	26

1. Introduction

This document is a standing document of ISO/IEC JTC 1/SC 2/WG 2/IRG for standardization of CJK Unified Ideographs. It consists of a set of principles and procedures on a number of items relevant to the preparation, submission and development of repertoires of Chinese-Japanese-Korean (CJK) Unified Ideographs extensions for additions to the standard ([ISO/IEC 10646](#)). Submitters should check the standard documents (including all the amendments and corrigenda) before preparing new submissions.

For anything not explicitly covered in this document, the IRG will follow the Principles and Procedures of WG2 and other higher level directives.

1.1. Scope of IRG Work

The IRG works on CJK ideograph-related tasks under the supervision of WG2 (SC2 Resolution M13-05). The following is a list of current and completed IRG projects:

- a. CJK Unified Ideograph Repertoire and its extensions
- b. Kangxi Radicals and CJK Radical Supplements
- c. Ideographic Description Characters
- d. IICORE (International Ideographs Core)
- e. CJK Strokes
- f. Old Hanzi

Work on new IRG projects requires the approval of WG2 and preparation of documents for such approval is required before the projects can be proceeded officially by the IRG.

1.2. Scope of This Document

The following sections are dedicated for standardization of CJK Unified Ideographs, describing the set of principles and procedures to be applied in the development of a new repertoire of CJK Unified Ideographs as specified in Section 1.1.a.

This document does not cover the standardization of other IRG activities listed in Section 1.1. Standardizing CJK Compatibility Characters maintained in UCS for the purpose of round-trip integrity with other standards is out of IRG scope. However, CJK compatibility characters submitted to WG2 must be reviewed by the IRG to avoid potential problems. For handling mis-unification and duplicate ideographs, Annex I and J of this document should be referenced.

2. Development of CJK Unified Ideographs

Any new extension work must be approved by WG2 before the actual consolidation and review can be formally carried out. There is no fixed rules to initiate a new extension. Normally, some member body would first initiate it by submitting a proposal which states the need with the required repertoire. Submission of proposals must follow the principles and procedures stated in this document. The IRG would first review the proposal and determine that it is within the IRG scope. Taking into consideration of the repertoire size, the urgency, legitimacy of the need, and the current workload of the IRG, the IRG may take different actions. One is to endorse it and request for WG2 approval for a new extension. The IRG may also request other member bodies to submit characters of similar nature so as to estimate the real workload before submitting to WG2 for endorsement. A proposal may also be accept as a contribution to an ongoing project. Rejected proposals may be brought back for discussion at a later time depending on the reason for the rejection.

2.1. Principles on Identification of CJK Unified Ideographs

2.1.1. Encoding of abstract characters

A member of CJK Unified Ideographs is such an abstract character that should be determined by its own abstract shape. A CJK ideographic character can be written in many actual forms depending on the writing style adopted. Examples of common writing styles include Song style and Ming style as typical print form, Kai style as hand written form, and Cao style as cursive form. Stylistically different forms of the same character can be represented by different number or different type of strokes and/or components, which may affect identification of the same abstract

shape. In order to reach a common ground to identify those abstract shapes to be encoded as distinct CJK Unified Ideographs, the IRG only accepts submissions using print form of glyphs (usually Song style or Ming style).

2.1.2. Unification procedures of CJK ideographs

Standard print forms of CJK ideographs are constructed with a combination of known components and/or stroke types. Many are determined by two components - a radical chosen to classify the character in dictionaries and possibly reflect the meaning of the character and a phonetic component which represents the pronunciation of the character. Basically, two submitted print forms of glyphs with different radicals are distinct characters even if they have the same phonetic component. For non trivial cases, further shape analysis must be conducted. Two similar glyphs shall be decomposed into radicals, components and/or stroke types and evaluated by following the unification procedures described in Annex S of ISO/IEC 10646.

2.1.3. Non-cognate rule

No matter how similar two ideographs is in actual shape, non-cognate or semantically different glyphs shall be considered to have different abstract shapes. The following gives examples of characters with very similar glyphs, yet the characters are semantically different, thus considered having different abstract shapes because they are non-cognate.

'戌'(U+620C) and '戌'(U+620D) differ only in rotated strokes/dots (S.1.5 a).

'日'(U+66F0) and '月'(U+5183) differ only in contact of strokes (S.1.5 c).

'于'(U+4E8E) and '干'(U+5E72) differ only in folding back at the stroke termination (S.1.5 f).

Because shape analysis alone may not tell non-cognateness or semantic differences, it is the submitter's responsibility to provide information and supporting evidence in order to invoke the non-cognate rule.

2.1.4. Enhancement of Annex S with new submission

Examples in Annex S shall be continuously updated. In reviewing character submissions, the IRG shall consider whether or not a new submission is worthy of inclusion in an Annex S update as a new example for unification or disunification.

2.2. Principles on Submission of Ideographs to the IRG

2.2.1. Basic Rules for Submission

A member body may submit the following to the IRG along with its repertoire. Different information may be handled differently as specified below.

- a. **New Sources to existing Standard.** If the submission specifies new sources to some existing standards, it needs to be reviewed and approved by the IRG before submission to WG2. Sources and source references in current ISO 10646 standard can be found in clause 27 of ISO 10646 First edition (2003-12-15)(See attached for up-to-date IRG list of sources)
- b. **New Sources to working sets.** In case there are some remaining D-set characters in previous standardization stages, new sources reviewed and approved by the IRG shall be incorporated into the current working sets by the IRG technical editor.
- c. **New Compatibility Ideographs.** In case a member body needs to add compatibility ideographs, these characters must be reviewed by the IRG before submission to WG2 to avoid potential problems of unification and/or dis-unification with other CJK characters.
- d. **New Unified Ideographs.** All ideograph submissions must be subject to the following rules:
 - (1). **Collection Size:** The collection size should normally not beyond 4,000 ideographs. This is to minimize the burden of checking process and to achieve a higher quality of standard within a shorter period of time. Based on this principle, members may be asked to divide its submitted collections into subsets to be processed in different IRG collections.
 - (2). **Pre-submission Unification Checking:** A member body should be EXTREMELY CAUTIOUS about *not to submit unified ideographs that are already standardized or previously discussed* and recorded at IRG meetings. By nature of the ideographs, it is very difficult for reviewers to find out all unifiable ideographs. Thus, it is important to keep high quality at the time of submission. **Submitters must make sure that submitted ideographs should not be in any of the following.**
 - a) **Ideographs already standardized in the ISO/IEC 10646 (including amendments).**

- b) Ideographs currently appeared in WG2 working drafts (including PDAM, FPDAM and FDAM)
 - c) Ideographs currently in IRG working sets including both M-sets and D-sets.
 - d) Ideographs mis-unified or over-unified with ideographs in current standard.
- Low quality submission may become a subject of “5% rule” described in Section 2.2.5 below.

- (3). **Document Registration:** All submission documents should be registered as IRG N documents, whose file name should be in the form of:

IRGNnnnn_mmmm[_sss[_ppp]]_submission

where *nnnn* indicates an IRG rapporteur assigned document number, *mmmm* indicates member body’s name, *sss* can be any member body designated indicator, and *ppp* indicates the working set or repertoire name (such as Ext. C).

- (4). **Submission of Over-Unified or Mis-Unified Ideograph:** Submission of ideographs that are already mis-unified or over-unified within the current standard should follow the WG2 PnP Annex I. The list of over-unified or mis-unified ideographs should be maintained by the IRG technical editor and made available as an IRG standing document.

2.2.2. Required Font to be submitted

- a. **Glyph image** : Each proposed ideograph must be accompanied by a corresponding 128 x 128 bitmap file in Song or Ming style. The file name should be the same as the source ID (defined below in Section 2.2.3.) with .bmp as its file extension.
- b. **TrueType font** (optional): TrueType Font availability is highly recommended although not necessary. Font specification can be found under point 5 of A.1. – Submitter’s Responsibilities in Annex A, WG2N3452). The IRG at certain stage of its development, will set a deadline for TrueType font submission.

2.2.3. Required Data to be submitted

The following data for each proposed ideograph must be submitted with CSV (Comma Separated Value) text format (in UTF-8) or Microsoft Excel format file:

- a. **Source ID** to indicate the source and the name of the glyph image for tracking. ID should begin with a member body code (G,T,J,K,V,KP,H,M, MY, or U) followed by no more than 9 characters and should contain only Latin capital letters, Arabic numbers, and hyphens. See Appendix D for details on information of member body code.
- b. **Glyph Image file name** or Truetype codepoint of submitted glyphs. The glyph image file name of each glyph image must be the same as the source ID with file extension of .bmp in bitmap format.
- c. **KangXi Radical Code** from U+2F00 – U+2FD5 with an additional 0 or 1 to indicate a traditional character or simplified character, respectively.
- d. **Stroke Count** of the Non-radical Component.
- e. **Flag to show whether the ideograph is traditional (0) or simplified (1).**
- f. **First Stroke Code** of the Non-radical Component (ref. IRG N 954 AR and IRG N 1105).
- g. **Ideographic Description Sequence** (ref. IRGN 1183).
- h. **Similar Ideographs and Variant Ideographs** if available or “No” if no known variants, “”empty if not checked.
- i. **References to evidence document** including document number and page number.

2.2.4. Required Evidence to be submitted

- a. **Supporting Evidence:** Evidence should be supplied to support the proposed glyph shape and the usage and context with pronunciations meanings, etc., to convince the IRG that it is actually used and/or non-cognate with other similar ideographs. Evidence for each character must be supplied as scanned images.
- b. **Questionable Characters** (optional): For candidates with possible unification questions, submitters are encouraged to supply more detailed evidence of use from authoritative sources and additional information on other related characters, variants and characters similar in shape or meaning encoded in UCS for review.
- c. **Avoidance of Derived Simplified Ideographs:** To avoid encoding derived simplified character without grounds of actual use, submission of simplified ideographs require the actual usage evidences of these simplified ideographs. Supply of only their corresponding traditional

ideographs are not considered evidence.

2.2.5. Required Summary Form to be submitted

Each submission as a collection requires a standard cover document called the IRG Reppertoire Submission Summary Form(Attached in **Appendix F**).

2.2.6. Quality Assurance: The 5% rule

For any character encoding standard, a common general principle is to encode the same character once and only once. It is the submitter's responsibility to filter out already encoded(including characters in DAM and pDAM of WG2)(Ref. [JTC1 Directives JTC1N8557](#)) and or already in working sets before submission. In assessing the suitability of a proposed ideograph for encoding, the IRG shall evaluate the credibility and quality of the submitter's proposal. If the IRG should find more than 5% of duplicated characters in the latest UCS from the submitter's source set during the IRG review process, the whole submission will be removed from the subsequent IRG working drafts for that particular IRG project.

2.3. Principles on Production of IRG Working Drafts

After the IRG accepts all submissions, the IRG technical editor will produce a set of IRG working drafts.

2.3.1. Principles on Submitted Ideographs

- a. All the original ideograph submissions, including glyphs, IDS, radicals, stroke counts and evidence, must have registered IRG document numbers.
- b. If any required information is missing, the IRG technical editor can ask for additional information from the submitter. Without timely supply of such information, the submission can be rejected by the technical editor for production of a working draft.

2.3.2. Principles on Assignment of Serial Number

- a. The IRG technical editor should consolidate and sort the submitted ideographs in accordance with Annex A of this document.
- b. A unique *serial number* should be assigned to each submitted ideograph after consolidation. The serial numbers must be unique throughout the entire standardization work process. They must not be changed, re-set or re-assigned unless a split happens. This principle allows easier reference to past discussions. In case of a split, one ideograph will keep the original serial number and a new serial number will be assigned to the other split ideograph.
- c. If ideographs submitted by different member bodies are obviously unifiable, such ideographs may be unified and assigned the same serial number by the IRG technical editor.

2.3.3. Principles on Machine-Checking of IDS of Submitted Ideographs

- a. The IRG technical editor should check the submitted IDS with existing IDS data to detect possible unifiable and/or duplicated ideographs.
- b. Machine checking sometimes detects obviously non-unifiable pairs. Such cases should be detected and annotated before proceeding to the next stage.
- c. IDS checking algorithm should satisfy the requirements described in Annex B.

2.3.4. Production of IRG Working Drafts

- a. **Division of Character Subsets:** By the result of IDS checking, submitted ideographs shall be grouped into the following two working sets:
 - i. **M-set (main set):** for ideographs with proper IDS, and found not to be unifiable with current standardized ideographs nor previously discussed ideographs with proper IDS.
 - ii. **D-set (discussion set):** for ideographs with missing, incomplete, or inconclusive IDS, or ideographs that might be unifiable with standardized or previously discussed ideographs. Ideographs with missing or incomplete IDS should be commented as such, and checked intensively through manual checking. Ideographs that might be unifiable with standardized or previously discussed ideographs should also be commented as such, and their suitability for unification must be manually checked and supported by evidence for disunification.
- b. **Naming of Working Drafts:** The file name should follow the format of "IRGNnnnnVX[XXX]" where *nnnn* is the IRG assigned document number and *X* is the version number. No space is

- allowed but use of underscore “_” for separation is allowed. Examples of version numbers are “ExtEV1.0”, V1.0Draft”, etc.
- c. **Glyph Images:** Archive of consolidated glyph images whose image size should be 128x128 with file name using the Source ID with the extension .bmp.
 - d. **Addition of Characters:** No ideographs should be added to the working set once development process begins.
 - e. **Alteration of Characters:** Generally speaking, alteration of characters indicates instability and any change may also impact on other characters in the collection. Thus it is generally not allowed. However, members may submit minor alteration of characters with provision of justification **ONLY at the final stage as long as alteration is unifiable to the original. Change of glyph beyond the Annex S unification criteria is considered to be an addition of new character and is NOT acceptable at this stage.** Thorough checking result that the alteration would not affect other characters in existing standards and working sets must be supplied. The IRG based on its evaluation, may decide to accept the alteration, reject the alteration or request for the removal for such a character by the submitter. **If the requester finds that the glyph of a character is wrong at any other working stages, the character should be withdrawn by the submitter.**
 - f.
 - g. **Previous D-Set:** If a previously discussed D-set exists, new D-set ideographs should be merged with the previous existing D-set.
 - h. After consolidation, the IRG chief editor and technical editor may ask members to review M-set and D-set based on IRG review schedule and task division.

2.4. Principles on Reviewing IRG Working Drafts

If the IRG instructs member bodies to review a working draft, member bodies’ editors should review the working draft (different portions may be assigned to different member bodies) according to schedule following the principles set out below.

2.4.1. General Principles on Reviews

- a. Each member body should check the ideographs of the working sets requested by the IRG chief editor and technical editor for the following issues:
 - i. Correctness of KangXi radical and KangXi Index, Stroke Count, Radical, First Stroke and IDS.
 - ii. Correctness of Glyphs and source information if necessary.
 - iii. Any duplicate or unifiable ideographs based on Annex S guidelines.
 - iv. Consistency of submitted characters with the submitted evidences
- b. When any data, including IDS, KangXi radical, or stroke count is found to be incorrect, such M-set ideograph should be moved to D-set as its standing data is no longer valid. Until such ideograph is assured to be unique by manual checking (procedures described in Section 2.4.2.), it should not be moved back to M-set.

2.4.2. Principles on Manual Checking

- a. **Duplication and Unification:** For D-set ideographs, members should ensure that they may not be duplicated or unified with any ideographs in the standard or in another working set (including the current one).
- b. **Radical Checking:** Assurance is done by enumerating all possible radicals of a target ideograph and looking for any duplicate or unifiable ideographs in the range of ± 2 stroke counts of standardized and working ideographs. For example, “聞” may have the radical of “門” with 6 strokes, or the radical of “耳” with 8 strokes. In such a case, checking standardized and working set ideographs with radical of “門” and 4-8 strokes, or ideographs with radical of “耳” and strokes of 6-10 manually can have much better assurance that such an ideograph does not have duplicate or unifiable ideographs.
- c. **Recording of Review Results:** After reviewing, the reviewer should put down the comment of “Checked against all standardized and working ideographs with radical X and stroke of $Y \pm 2$.”

2.4.3. Submission of Possibly Unifiable Ideographs

- a. **Comments Preparation:** Member bodies should prepare comments and feedback with reference to the assigned serial number of the ideograph in question. The guidelines on comments are described in Section 4 of this document. Comment files should be in CSV form

as a text file or a Microsoft Excel format file. All comment files must have pre-assigned IRG document numbers.

- b. **Additional Evidence and Arguments:** For D-set ideographs that might be duplicated with other standardized or working ideographs, the *submitter* should prepare arguments with further evidence supporting the use, evidence document showing that the suspected ideographs are not unifiable e.g. dictionaries, legal documents, publications, etc. for all of those proposed ideographs which have been questioned for possible unification with existing UCS or other proposed ideographs in the same working draft or another draft.
- c. **Submission deadline:** Each member body should send feedback comments at least two months before the next IRG meeting. The IRG chief editor and technical editor should consolidate them and register the result as IRG N documents a month before the next IRG meeting so that each member body can examine the comments and prepare any additional documents for discussion at the following meeting.
- d. **Rejection:** Questioned ideographs with no counter arguments supplied to the following meeting shall be automatically marked as unified.

2.5. Principles on Discussions at IRG Meetings

2.5.1. Document-based Discussion

For efficient and smooth work, all discussion items and evidence must be prepared with registered IRG documents before the commencement of an IRG meeting. Items or evidence not appeared in the IRG document registry are not treated as evidence and will not be discussed during IRG meetings. Any discussions on evidence or items raised after the commencement of an IRG meeting may be postponed to the next IRG meeting if any member body requests longer time to examine such items or evidence.

2.5.2. Discussion Procedures

Discussion should be based on the review comments on working sets. For non-unification issues, a submitter should present evidence document(s) showing that suspected unifiable ideographs are distinctively used as non-cognate character in the same region, or that these two characters cannot be unified in accordance with Annex S. When IRG members have consensus that the ideographs are unifiable, the submitter should take one of the following actions, and the decision must be recorded.

- a. Withdraw the duplicate ideographs and map the character in question to the existing standardized or working set ideograph.
- b. Sumit it as compatibility character by the original submitter.
- c. Add a new source reference to the existing standardized or working set ideograph.

When characters are reviewed by different people, different choice of radical, stroke count or first stroke code are possible for the same ideograph. IRG members should resolve to the most appropriate one based on the most common abstract shape of the specific glyph. When KangXi radical or stroke count is agreed to be incorrect, the ideographs should be moved to D-set and wait for another manual review to prevent any unification error caused by not having covered the review with Ideographs having the correct KangXi radical or stroke count.

Guidelines on typical comments and resolutions are given in Section 4 of this document.

2.5.3. Recording of Discussions

Comments, rationales, and decisions must be recorded for each ideograph reviewed in a tabular format for reference and checking.

2.5.4. Time and Quality Management

Before discussion begins, the number of ideographs under review should be counted and the estimated schedule should be determined based on it. During the discussion, the number of comments reviewed per hour should be noted and the schedule should be adjusted by this rate. If the comments cannot be handled in one IRG meeting, they may be partitioned and resolved in subsequent IRG meetings.

2.6. Principles on Submission of Ideographs to WG2

2.6.1. Stabilized M-Set Checking

- a. Once M-set is consolidated and stabilized, the ideographs of M-set should be checked at least once as a complete set for intensive checking to assure data and glyph integrity.
- b. Approval by member bodies by majority is needed before the collection shall be prepared for WG2 submission.

2.6.2. Preparation for WG2 Submission.

After the approval by majority of IRG member bodies, the IRG technical editor should prepare the following:

- a. Sort the final stable M-set ideographs by the sorting algorithm described in Annex A.
- b. Assign provisional UCS code position to the sorted M-set ideographs (with agreement from ISO 10646 project editor on block assignment).
- c. Make available the TrueType fonts for each member body with assigned provisional UCS code position (fonts have to be available in accordance with the requirement stated in point 5 of A.1. – Submitter's Responsibilities in Annex A, WG2N3452)
 - i. Each submitter is encouraged to prepare its own font for best font quality.
 - ii. If a member body has difficulty creating the font, other member bodies or the IRG technical editor may help creating the font. In this case, the glyph style of the submitter must be respected.
 - iii. If the submitter cannot be made available the TrueType font at this time, the collection by the submitter will be withdrawn from this collection.
- d. List source references
- e. Produce packed Multi-column format Ideograph Chart, made by the TrueType fonts.

The IRG should conduct at least one round of review of the table generated with TrueType font before submission to WG2.

3. Procedures

This section describes the basic development procedures of CJK Unified Ideograph extensions. The ultimate purpose of this section is to realize the production of high quality CJK Unified Ideograph sets in an efficient manner.

Development procedures described in this section consists of 8 stages, and it may take two to three years to create a high quality ideograph set for standardization.

3.1. Call for Submission

- a. When a member body requests a new project for CJK Unified Ideograph extension and when the project is agreed upon at an IRG meeting, the IRG may call for submission of new ideographs. The IRG must also determine the deadline for the submission.
- b. Each member body with proposed ideographs must submit the ideographs before the specified deadline with required data described in Section 2 of this document.
- c. Member bodies must check whether the submitted ideographs are accompanied with all required information. If some required information is missing or misplaced, the IRG technical editor may ask the submitter to resubmit or supply the additional information if only minor problems are encountered. Otherwise, the submission can be rejected because consolidation to other member bodies' submissions cannot be carried out.

3.2. Consolidation and Grouping of Submitted Ideographs

Consolidation of submissions is normally done between IRG meetings. The consolidation includes the following tasks:

- a. The IRG technical editor should sort and assign *serial numbers* to submitted ideographs as described in Section 2.3.2.
- b. After serial numbers are assigned, submitted ideographs must undergo IDS checking to detect any duplication and unification. By the result of IDS checking as described in 2.3.3, submitted ideographs will be grouped into M-set and D-set as described in Section 2.3.4.
- c. After consolidation, a working draft will be assigned an IRG N document number with a version number, and will be distributed to member bodies' editors and made available so that any other experts can have access to it. The IRG chief editor and technical editor may ask and assign

member editors to check M-set and D-set ideographs either for the entire collection or certain portions of it depending on reasonable estimation of workload by the IRG chief editor and technical editor.

3.3. First Checking Stage

This stage will be held between IRG meetings. The checking involves the following tasks:

- a. Each member body's editor must check the assigned M-set and D-set for data integrity, correctness, missing data and duplication. Checking for unification is not mandatory, but desirable. Typical review comment examples for each set are provided in Section 4.
- b. Members must submit their comments to the IRG chief editor and technical editor at least two months before the next IRG meeting.
- c. The IRG technical editor must consolidate the comments and produce an IRG registered document for circulation and discussion at least one month before the next IRG meeting.
- d. Submitters are encouraged to prepare and submit supplementary documents (with IRG document numbers) so that they can be discussed at the next IRG meeting.

3.4. First Discussion and Conclusion Stage

This stage will be held during an IRG meeting and the tasks include:

- a. Members should review the comments which are officially submitted before the meeting with assigned IRG document numbers and the editorial group must make conclusions for each commented ideographs in writing. Guidelines for typical conclusion are provided in Section 4.
- b. All the conclusions must be endorsed/agreed by the IRG plenary in its resolutions. As a result of resolution, some ideographs would be removed or moved between M-set and D-Set.
- c. The IRG technical editor should create a new M-set and D-set a month after the IRG meeting, and register them as IRG registered document with version information.
- d. If more than 5% of ideographs submitted by a specific submitter is removed as a result of duplication or unification with existing standardized set, the entire submission of this submitter should be removed to ensure high quality of the project.

3.5. Second Checking Stage

This stage will be held between IRG meetings with the following tasks:

- a. Each member body's editor must check the newly created M-set and D-set for correctness and any duplication.
- b. Members should submit their comments with registered IRG document number to the IRG chief editor and technical editor at least two months before the next IRG meeting.
- c. The IRG technical editor should consolidate the comments and produce a registered IRG document for discussion at least a month before the next IRG meeting.
- d. Members are encouraged to prepare supplementary documents to facilitate discussion during the next IRG meeting.

3.6. Second Consolidation and Conclusion Stage

This stage will be held during an IRG meeting with the following tasks:

- a. Members must review the comments and make conclusion for each ideograph. Typical comment and conclusion examples for each set are provided in Section 4.
- b. All the conclusions must be endorsed/agreed by the IRG plenary in its resolutions. As a result of the resolutions, some ideographs may be removed or moved between M-set and D-set.
- c. The IRG technical editor should create a new M-set and D-set a month after the IRG meeting, and produce an IRG registered document.
- d. If more than 5% of ideographs submitted by a specific submitter is removed as a result of duplication or unification with existing standardized set, the entire submission of this submitter should be removed to ensure high quality of the project.

3.7. Final Checking Stage

This stage will be held between IRG meetings with the following tasks:

- a. All member bodies' editors are requested to check M-set intensively using comments and conclusions made in all previous stages. In the final checking stage, no ideographs are allowed to be moved from D-set to M-set.
- b. Member bodies' editors should submit their comments to the IRG chief editor and technical editor at least two months before the next IRG meeting.
- c. The IRG technical editor should consolidate the comments and produce an IRG registered document for discussion at least a month before the next IRG meeting so that member bodies' editors can have time to review them before the next IRG meeting.

3.8. Approval and Submission to WG2

This stage will be held during an IRG meeting with the following tasks:

- a. Members should review the comments on M-set and make conclusion for each ideograph.
- b. If there is no positive decision on an M-set ideograph, it should be moved to D-set. No character should be moved from D-set to M-set at this stage. Ideographs may only be moved from M-set to D-set.
- c. With the approval from the majority of IRG member bodies, M-set should be frozen as the new ideograph extension set to be submitted to WG2. The IRG technical editor should prepare the document in accordance with Section 2.6 of this document.
- d. The remaining D-set should not be removed. They should be kept and used in the next standardization work to maintain the discussion record and avoid repetition of discussion.

4. Guidelines for Comments and Resolutions on Working Sets

The following tables list guidelines for typical comments and conclusions during the development process. All comments must be accompanied with date (in YY-MM-DD format) and member identifier (G, T, H, M, J, K, KP, U or V). All conclusions must also be accompanied with a date.

4.1. Guidelines for M-set

M-set is the ultimate target of the standardized ideograph set. As such, it must be carefully examined. If any suspicious characters are found, they should be moved to D-sets or removed from the working sets all together.

Possible Comment by a Reviewer	Possible Resolution
Wrong/Missing Glyph	<ul style="list-style-type: none"> ● Glyph is corrected/supplied and move to D-set for eyeball reviewing.
Wrong KangXi radical / strokes / first stroke	<ul style="list-style-type: none"> ● Data will be corrected and this Ideograph will be moved to D-set for another eyeball review.. ●
Wrong IDS	<ul style="list-style-type: none"> ● IDS will be corrected and the character will be moved to D-set until they are checked again by the IDS checker. ● Move to D-set (in case IDS can't be corrected.)
May be unifiable to U+xxxx (standardized ideograph)	<ul style="list-style-type: none"> ● Unified to U+xxxx and requester will request new Source ID to U+xxxx. ● Unified to U+xxxx and requester will request this character as Compatibility Ideograph. ● Unified to U+xxxx and this entry will be removed. (May consider to register it to IVS.) ● Not unifiable.
May be unifiable to xxxxx (M-set ideograph)	<ul style="list-style-type: none"> ● Unified to xxxxx and this source ID will be attached to xxxxx. ● Unified to xxxxx and the requester may consider it to register as Compatibility Character or IVS. ● Not Unifiable.

4.2 Guidelines for D-set

D-set ideographs are the ones that either cannot be checked automatically by IDS checking algorithm or the ones that are suspected to be unifiable with other standardized or working ideographs. For the ideographs that cannot be machine-checked by IDS matching, at least two non-requester members must check by human eyeballs to ensure that the ideographs are not unifiable with any standardized ideograph or working ideograph. For the ideographs that might be unifiable with other ideographs, a submitter is requested to prepare arguments and evidence to show that such ideographs should be separately encoded.

Possible Comment by IDS checker	Possible Conclusion
<ul style="list-style-type: none"> • Incomplete IDS IDS with extra character. • DC is not ideograph 	<ul style="list-style-type: none"> • IDS will be corrected and it will be moved to M-set when next IDS-check is done. • Proper IDS can't be generated and eyeball checking is needed.
Possible Comment by a Reviewer	Possible Conclusion
<ul style="list-style-type: none"> • Wrong KangXi radical • strokes • first stroke 	<ul style="list-style-type: none"> • Data will be corrected. • Proposal to correct data is not accepted, as it is ambiguous case and IRG agreed that the previous choice of XX is more appropriate.
<ul style="list-style-type: none"> • Wrong IDS 	<ul style="list-style-type: none"> • IDS will be corrected and will be checked by the IDS checker again. • Correct IDS can't be generated and human eyeball check is needed.
May be unifiable to <i>U+xxxxx</i> (standardized ideograph)	<ul style="list-style-type: none"> • Unified to <i>U+xxxxx</i> and new source is added to <i>U+xxxxx</i>. Entry is no longer used. • Not unifiable, as shown by the evidence <i>IRG Nxxxx</i>. Move to M-set.
May be unifiable to <i>xxxxx</i> (M-set or D-set Ideograph)	<ul style="list-style-type: none"> • Unified to <i>xxxxx</i> and this entry is no longer used. • Unified with <i>xxxxx</i>. (<i>xxxxx</i> is removed.) • Not Unifiable, as shown by the evidence <i>IRG Nxxxx</i>. Move to M-set
Checked against all standardized and working ideographs with radical X and stroke of Y±2.	<ul style="list-style-type: none"> • Move to M-set, as two non-submitter members (XX and YY) ensured that this ideograph is not unifiable with any existing standardized or working ideographs. • Checking against ideographs with radical X may not be enough. This ideograph should also be checked against ideographs with radical Z, too.

5. IRG Website

The IRG maintains its own web site at <http://www.cse.cuhk.edu.hk/~irg/>, hosted by the Department of Computer Science and Engineering at the Chinese University of Hong Kong. IRG meeting notices, minutes, resolutions, document register, documents and standing documents are made available at this site. Hyperlinks to WG2 websites will be provided for member bodies' easy access. For faster retrieval of documents and searching, documents should not be usually compressed and the site search engine window should be made available. Documents larger than 4MB must be split into multiple files for easy uploading, downloading and searching. The compressed files must be in WinZip format with .zip extension.

6. IRG Document Registration

All documents to be formally discussed by the IRG must be registered with assigned IRG document numbers.

6.1. Registration Procedures

The following gives the registration procedures:

- a. **Request for Document Number:** All documents submitted to the IRG must be given a registered document number. The assignment is done by the IRG rapporteur. A member body shall first contact the IRG rapporteur for a document number with a document title. Once the document number is assigned, the information will be posted on the IRG website. Some document numbers can be pre-assigned during IRG meetings for activities between IRG meetings.
- b. **Submission of documents:** All registered documents must be submitted to the IRG rapporteur. The submitted documents must also contain an assigned IRG document number in text form so that searching can be supported.
- c. **Posting of documents:** Properly submitted documents are then posted by the IRG rapporteur on the IRG website as official documents.
- d. **Disqualified documents:** Documents with certain basic information missing such as submitter's name, title, purpose can be rejected by the IRG rapporteur for posting. All other documents which fail to comply with the above registration process and the preliminary review by the IRG rapporteur for basic information will not be treated as IRG documents. As such, issues to be addressed will not be discussed by the IRG formally.

6.2. Contact for IRG Document Registration

The current IRG rapporteur is Dr. Qin LU and her contact information is as follows:

Professor Qin Lu
Department of Computing
The Hong Kong Polytechnic University
Hung Hom, Hong Kong
Tel. (852) 2766 7247
Fax. (852) 2774 0842
Email: csluqin@comp.polyu.edu.hk

Annex A: Sorting Algorithm of Ideographs

Ideographs must be sorted by the following order.

a. **KangXi Radical order.**

Note: When radicals are in simplified forms given below, ideographs with simplified radicals must be placed after the ideographs with corresponding traditional radicals.

Simplified Radicals		Traditional Radicals	
R119.1	纟	R119.0	糸
R146.1	见	R146.0	見
R148.1	讠	R148.0	言
R153.1	贝	R153.0	貝
R158.1	车	R158.0	車
R166.1	钅	R166.0	金
R167.1	长	R167.0	長
R168.1	门	R168.0	門
R177.1	韦	R177.0	韋
R180.1	页	R180.0	頁
R181.1	风	R181.0	風
R182.1	飞	R182.0	飛
R183.1	饣	R183.0	食
R186.1	马	R186.0	馬
R194.1	鱼	R194.0	魚
R195.1	鸟	R195.0	鳥
R196.1	卤	R196.0	鹵
R198.1	麦	R198.0	麥
R204.1	龟	R204.0	龜
R209.1	齐	R209.0	齊
R210.1	齿	R210.0	齒
R211.1	龙	R211.0	龍

b. **Number of Strokes.**

Note: Simplified characters must be placed after traditional characters within the same stroke-number group.

c. **First stroke.**

Annex B: IDS Matching

B.1. Guidelines on Creation of IDS

Each member body should consult IRGN1183 finalized in IRG Meeting No. 25 on IDS creation. **Note that use of “overlapping” IDC or more than four IDC is considered to be ‘inappropriate’ and may not be a subject of IDS comparison.**

B.2. Requirements on IDS Matching.

The IDS matching algorithm used by the IRG should support the following features:

1. IDS matching should be able to handle different split points.
(e.g. 𠄎𠄎 and 𠄎𠄎 should be matched.)
2. IDS matching should be able to handle different split levels.
(e.g. 𠄎𠄎 and 𠄎𠄎 should be matched.)
3. IDS matching should match different glyphs of the same abstract shape.
(e.g. 𠄎𠄎 and 𠄎𠄎 should be matched.)
4. IDS matching should match similar glyphs.
(e.g. 𠄎𠄎 and 𠄎𠄎 should be matched.)
5. IDS matching should match IDS with different orderings of overlapping IDC.
(e.g. 𠄎𠄎 and 𠄎𠄎 should be matched.)
6. IDS matching should match unifiable IDC patterns.
(e.g. 𠄎𠄎 and 𠄎𠄎 should be matched.)
7. IDS matching should be able to handle the combination of the above.
8. IDS matching should be able to detect any inappropriate IDS, such as IDS being too long, IDS with non-ideographic DC, or missing or extra DC or IDC.

B.3. Limitation of IDS Matching.

It should be noted that IDS matching cannot detect unification or duplication if a component cannot be encoded by an IDS, or if the glyph itself is very complex. IDS matching is done by strict programming logics. It is not versatile on detection of the unifiable ideographs unless rules are explicitly given to the algorithm. Thus, it is not meant to be the replacement of manual checking. Rather, it is an assistive tool for quality assurance to identify duplication and known cases of unification. Therefore, it is very important for submitters to make sure that their submitted ideographs are not going to be unified with any standardized or previously discussed ideographs.

Annex C: Urgently Needed Ideographs

C.1. Introduction

When a member body urgently needs a few ideographs to be standardized for some good reasons (such as they are Regional or National Standard ideographs), the member body may, with the approval of the IRG, submit the ideographs independent of the current working set to the WG2.

C.2. Requirements

The submitter of urgently needed ideographs must prepare the following documents:

- b. All the documents required as in normal ideograph submissions.
- c. In addition to the above, a document to show any unifiable ideographs in the current working sets against the submitted ideographs.
- d. For ideographs not mentioned above, the document must prove that their submitted ideographs are not unifiable with any ideographs in the currently working set. Proof may be provided by showing which document the submitter checked, ideographs of which radicals and strokes they checked against each of submitted ideographs. It is an important responsibility of the submitter to check with not only current standardized CJK ideographs, but also the working set for any unifiable characters against their submission. Failure to do so, its submission will not be approved by the IRG for endorsement of independent submission.

C.3. Dealing with Urgent Requests

The IRG may at its discretion accept the document from the submitter of urgently needed ideographs for discussion if the amount of work is considered to be reasonably small for IRG review without unreasonable disruption to its on-going projects. Accepted submissions must be checked by the IRG for correctness, duplication and unification. All accepted ideographs as independent submission must be checked with the current working set. When an ideograph is found to be identical or unifiable with the ones in the current working sets, such ideograph must be noted and removed from the current working set if approval by WG2 is given.

Annex D: Up-to-Date CJC Unified Ideograph Sources and Source References

D1. Member body Code:

G: China
H: Hong Kong
J: Japan
K: Republic of Korea
KP: Democratic People's Republic of Korea
M: Macao
MY: Malaysia(Added in Nov. 2008 in IRG Meeting No. 31)
T: Taiwan Computer Association
U: Unicode
V: Vietnam

D2. The Hanzi G sources

G0 GB2312-80
G1 GB12345-90 with 58 Hong Kong and 92 Korean "Idu" characters
G3 GB7589-87 unsimplified forms
G5 GB7590-87 unsimplified forms
G7 General Purpose Hanzi List for Modern Chinese Language, and General List of Simplified Hanzi
GS Singapore Characters
G8 GB8565-88
GE GB16500-95
G_KX Kangxi Dictionary ideographs (康熙字典) including the addendum (康熙字典) 補遺
G_HZ Hanyu Dazidian ideographs (漢語大字典)
G_CY Ci Yuan (辭源)
G_CH Ci Hai (辭海)
G_HC Hanyu Dazidian (漢語大詞典)
G_BK Chinese Encyclopedia (中國大百科全書)
G_FZ Founder Press System (方正排版系統)
G_4K Siku Quanshu (四庫全書)

D3. Hanzi H sources

Hong Kong Supplementary Character Set(HKSCS)

D4. Hanzi T sources

T1 TCA-CNS 11643-1992 1st plane
T2 TCA-CNS 11643-1992 2nd plane
T3 TCA-CNS 11643-1992 3rd plane with some additional characters
T4 TCA-CNS 11643-1992 4th plane
T5 TCA-CNS 11643-1992 5th plane
T6 TCA-CNS 11643-1992 6th plane
T7 TCA-CNS 11643-1992 7th plane
TF TCA-CNS 11643-1992 15th plane

D5. Kanji J sources

J0 JIS X 0208-1990
J1 JIS X 0212-1990
J3 JIS X 0213:2000 level-3
J4 JIS X 0213:2000 level-4
JA Unified Japanese IT Vendors Contemporary Ideographs, 1993

D6. Hanja K sources are

K0 KS C 5601-1987
K1 KS C 5657-1991
K2 PKS C 5700-1 1994
K3 PKS C 5700-2 1994
K4 PKS 5700-3:1998

D7. Hanja KP sources

KP0 KPS 9566-97
KP1 KPS 10721-2000

D8. ChuNom V sources

V0 TCVN 5773:1993
V1 TCVN 6056:1995
V2 VHN 01:1998

V3 VHN 02: 1998
D9. MY sources



Annex E: List of Over-Unification and Mis-Unification Ideographs

E.1 Introduction

There are some known mis-unification and over-unification cases in the ISO/IEC 10646. However, once encoded, over-unified and mis-unified characters should not be separately encoded unless agreed upon WG2. Therefore, the requester must be careful that his or her proposal does not include such over-unified character. In this section, known over-unified and mis-unified ideographs are listed. Requester should check against this section before submitting their proposed ideographs to IRG.

E.2 List of Over-unification Ideographs

<<Insert Contents of IRG N 1483 Appendix B2>>

E.3 List of Mis-unification Ideographs

<<Insert Contents of IRG N 1395>>

Annex F: IRG Reppertoire Submission Summary Form

**ISO/IEC JTC 1/SC 2/WG 2/IRG
PROPOSAL SUMMARY FORM TO ACCOMPANY SUBMISSIONS
FOR ADDITIONS TO THE REPERTOIRE OF ISO/IEC 10646¹ IDEOGRAPHS**

Please fill all the sections A and B below.

Please read Principles and Procedures Document (P & P) from <http://appsrv.cse.cuhk.edu.hk/~irg/irg31/IRGN1562.pdf>
for guidelines and details before filling this form.

Please ensure you are using the latest Form from <http://appsrv.cse.cuhk.edu.hk/~irg/SubmissionForm.pdf>.
See also <http://appsrv.cse.cuhk.edu.hk/~irg/UCV.html> for latest *Unifiable Calligraphic Variations*.

A. Administrative

1. **IRG Project Code:**

2. **Title:**

3. Requester's region/country name:

4. Requester type (National Body/Individual contribution):

5. Submission date:

6. Requested Ideograph Type (Unified or Compatibility Ideographs)

If Compatibility, does requester have the will to register them as IVS (See UTS #37) with the IRG approval? (Registration fee will not be charged if authorized by the IRG.)

7. Request Type (Normal Request or Urgently Needed)

8. Choose one of the following:

This is a complete proposal:

(or) More information will be provided later:

B. Technical – General

1. Number of ideographs in the proposal:

2. Glyph format of the proposed ideographs: (128x128 “bmp” files or TrueType font file)

If ‘bmp’ files, their file names are the same as their source IDs?

If TrueType font, all proposed glyphs are put into BMP PUA area?

If TrueType font, data for source IDs vs. character codes are provided?

3. Source IDs:

Do all the proposed ideographs have the unique, proper source ID (country/region code and less than 9 alphanumeric characters)?

4. Evidences:

a. Do all the proposed ideographs have the separate evidence document which contains at least one photocopy of printed materials (preferably dictionaries)?

b. Do all the printed materials used for evidence provide enough information to track them by the third parties (ISBN numbers, etc.)?

5. Attribute Data Format: (Excel file or CSV)

¹ Form number: IRG N XXXX (Original 2008-10-14)

C. Technical - Checklist

Understandings of Unification Checklist

1. Has the requester read the ISO/IEC 10646 Annex S and understand the unification policy?
2. Has the requester read the “Unifiable Calligraphic Variations” (check IRG technical editor for the newest one) and understand the unifiable variation examples?
3. Has the requester read this P&P document and understand the 5% rule?

Character-Glyph Duplication Checklist

4. Has the requester checked that any of the proposed ideographs is **not unifiable** with the unified or compatibility ideographs of ISO/IEC 10646?
If yes, which version of ISO/IEC 10646 did requester check? (e.g. 10646:2003)
5. Has the requester checked that any of the proposed ideographs is **not unifiable** with the ideographs in Amendments of current ISO/IEC 10646? (As of 2008, Amendment 1,4,and 5 have the ideographs.)
If yes, which amendments did requester check?
6. Has the requester checked that any of the proposed ideographs is **not unifiable** with the ideographs in currently working or proposed amendments of ISO/IEC 10646? (As of 2008, PDAM 6 has the ideographs.)
If yes, which draft amendments did requester check?
7. Has the requester checked that any of the proposed ideographs is **not unifiable** with the ideographs in currently working M-set and D-set of the IRG? (Contact IRG Editor for the newest list)
If yes, which document did requester check?
8. Has the requester checked that any of the proposed ideographs is **not unifiable** with the over-unified or mis-unified ideographs in ISO/IEC 10646? (Check Appendix D of this document).
9. Has the requester checked that any of the proposed ideographs **has similar ideograph** with the ideographs in currently standardized or working set mentioned above?
10. Has the requester checked that any of the proposed ideographs **has variant ideograph** with the ideographs in currently standardized or working set mentioned above?

Attribute Data Checklist

11. Do all the proposed ideographs have the radical code, strokes and first stroke attributes in attribute data?
12. Is there any simplified ideographs (Ideographs that is based on the policy described in 簡化字總表) in the proposed ideographs?
If YES, does your proposal include proper simplified/traditional indication flag for each proposed ideographs in attribute data?
13. Do all the proposed ideographs have the document page number of evidence documents in attribute data?
14. Do all the proposed ideographs have the proper Ideographic Description Sequence (IDS) in attribute data?
If NO, how many proposed ideographs do not have the IDS?
15. If the above question 9 or 10 is yes, do the attribute data include similar/variant ideographs information for proposed ideographs?



WG2 PnP Annex I: Guideline for handling of CJK ideograph unification and/or disunification error

(Source: [ISO/IEC JTC 1/SC 2/WG 2 N2576R](#) – 2003-10-21)

There are two kinds of errors that may be encountered related to coded CJK unified ideographs.

Case 1: *to be unified* error - Ideographs that should have been unified are assigned separate code points.

Case 2: *to be disunified* error - Ideographs that should not have been unified are unified and assigned a single code point. An example of this is the request from TCA in document [N2271](#).

When such errors are found, the following guidelines will be used by WG 2 to deal with them.

I.1 Guideline for “to be unified” errors

- A. The “*to be unified*” pair will be left disunified. Once a character is assigned a code position in the standard, it will not be removed from the standard.
- B. If necessary, an additional note may be added to an appropriate section in the standard.

I.2 Guideline for “to be disunified” errors

- A. The ideographs to be disunified should be disunified and should be given separate code positions as soon as possible (disunification in some sense, and character name change in some sense also). These ideographs will have two separate glyphs and two separate code positions. One of these ideographs will stay at its current encoded position. The other one will have a new glyph and a new code position.
- B. For the ideographs that are encoded in the BMP, the code charts in ISO/IEC 10646 are presented in multiple columns, with possibly differing glyph shapes in each column. The question of which glyph shall be used for the currently encoded ideograph will be resolved as follows. In the interest of synchronization between ISO/IEC 10646 and the Unicode standard, the ideograph with the glyph shape that is similar to the glyph that is published in the “[Unicode Charts](#)” will continue to be associated with its current code position. For the ideographs outside the BMP, the glyph shape in ISO/IEC 10646 and the Unicode Charts are identical and will be used with its current code position.
- C. The disunified ideograph will have a glyph that is different from the one that retains the current code position.
- D. The net result will be an addition of new ideograph character and a correction and an additional entry to the source reference table.

I.3 Discouragement of new disunification request

There is a possibility of “pure true disunification” request. This is almost like the new source code separation request. This kind of request shall not be accepted disregarding the reasoning behind. Key difference between “TO BE DISUNIFIED” and “SHALL NOT BE DISUNIFIED” is as follows.

- a. If character pair is non-cognate (meanings are different), that pair of characters is TO BE DISUNIFIED.
- b. If a character pair is cognate (means the same but different shape), that pair of characters SHALL NOT BE DISUNIFIED.

Disunification request with reason of mis-application (over-application usually) of unification rule should NOT be accepted due to the principle in resolution [M41.11](#).

WG2 PnP Annex J: Guideline for correction of CJK ideograph mapping table errors

(Source: [ISO/IEC JTC 1/SC 2/WG 2 N2577](#) – 2003-09-02)

In principle, mapping table or reference to code point of existing national/regional standard (in the source reference tables) must not be changed. But once a fatal error is found it should be corrected as early as possible, under following guidelines:

J.1 Priority of error correction procedure

- A. Consider adding new code position and source-reference mapping for the character in question rather than changing the mapping table.
- B. If change of mapping table is unavoidable, correction should be done as soon as possible.

J.2 Announcement of addition or correction of mapping table

Once any addition or correction of mapping table is made, an announcement of the change should be made immediately. Usually this will be in the form of a resolution of a WG 2 meeting, followed by subsequent process resulting in an appropriate amendment to the standard.

J.3 Collection and maintenance of mapping tables that are not owned by WG 2

There are many mapping tables, which are included in national/regional standards or developed by third parties. These are out of WG 2's scope. Any organization (such as Unicode Consortium) that collects mapping information, maintains it consistently and makes this information widely available is invited and encouraged to do so.

References

Document numbers in the first column in the following table refer to IRG working documents (ISO/IEC JTC 1/SC 2/WG 2/IRGNxxxx), except where noted otherwise. For documents with no link, you may try <http://www.cse.cuhk.edu.hk/~irg/> ; some older documents may only be available in paper form (contact the IRG rapporteur Prof. Lu Qin).

Doc. No.	Title	Source	Date
WG2 N3201	Principles and Procedures for Allocation of New Characters and Scripts and Handling of Defect Reports on Character Names	WG2	2007-03-14
N681	Annex S	Bruce Peterson and IRG Rapporteur	1999-11-18
N881	CJK Extension C Submission Format	IRG	2001-12-04
N953	Minutes of the Adhoc meeting on submitted documents: N941, N942, N944, N945, N948, N949	CJK ad hoc group	2002-11-22
N954	Report on first stroke/stroke count by ad hoc group	CJK ad hoc group	2002-11-22
N954AR	N954 Appendix: First Stroke / Stroke Count Chart	CJK ad hoc group	2002-11-21
N955	IRG Radical Classification	Ideograph Radical Ad Hoc	2002-11-21
N956	Ideograph Unification	Ideograph Radical Ad Hoc	2002-11-21
N1105	Amendments to IRG N954AR	Macao	2005-01-03
N1183	IDS decomposition principles(Revised by the IRG)	KAWABATA, Taichi	2005-12-28
N1197	Sample evidence for CJK C1 candidates	Japan	2006-05-22
N1372	On Better use of IDS on IRG development process	KAWABATA, Taichi	2007-11-09
SC2 N3933		SC2	

Glossary:[to be updated later]

Source: A reputable published document such as a dictionary, a standardization document, or a well published and widely read or referenced book which the IRG would consider as authoritative such that the characters in this source are considered reliable and stable for consideration of inclusion.

Abstract shape:

D-set:

M-set:

Working set:

Compatibility characters:

Ideographic Description Sequence(IDS):